

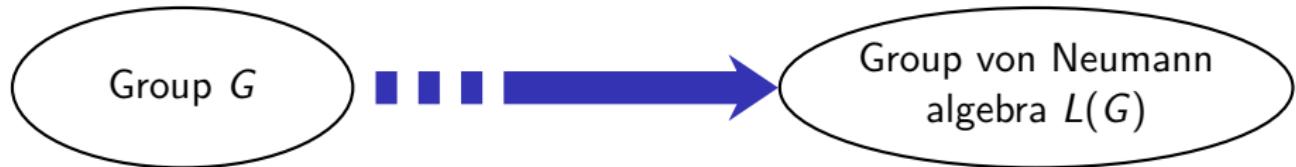
# Ozawa's class $\mathcal{S}$ for locally compact groups and unique prime factorization of group von Neumann algebras

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Skyline Communications

IPAM, Lake arrowhead, 2019

# Group von Neumann algebra



- ▶ Consider left-regular representation  $\lambda : G \rightarrow B(L^2(G))$

$$(\lambda_g \xi)(h) = \xi(g^{-1}h) \quad g, h \in G, \xi \in L^2(G)$$

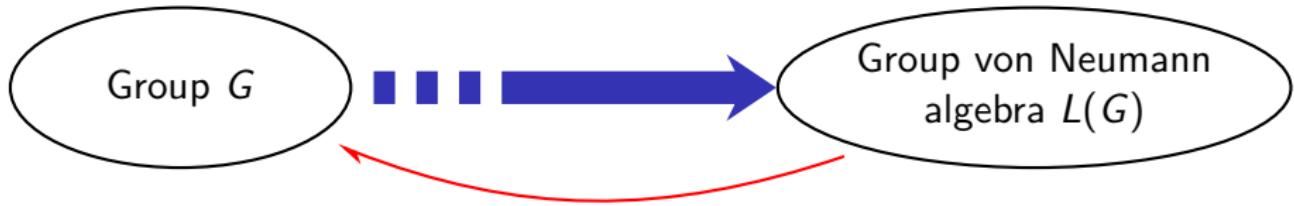
→ Group algebra  $\mathbb{C}G = \text{span}\{\lambda_g\}_{g \in G}$

## Definition

The **group von Neumann algebra**  $L(G)$  is the von Neumann algebra generated by  $\mathbb{C}G$ , i.e.

$$L(G) = \overline{\mathbb{C}G}^{\text{w.o.}} = \overline{\text{span}\{\lambda_g\}_{g \in G}}^{\text{w.o.}}$$

# Problem setting



## Question

*How much does  $L(G)$  “remember” of the structure of  $G$ ?*

- ▶ **(Connes, 1976)** All  $L(G)$  are isomorphic for  $G$  countable, amenable, icc
- ▶ **Open problem:** is  $L(\mathbb{F}_n) \cong L(\mathbb{F}_m)$  if  $n \neq m$ ?
- ▶ **Ozawa's class  $\mathcal{S}$** 
  - ▶  $G$  countable: **(Ozawa, 2004), (Ozawa-Popa, 2004), ...**
  - ▶  $G$  locally compact: this talk

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# Class $\mathcal{S}$ for countable groups

$\Gamma$  countable group

Definition (Ozawa, 2006)

$\Gamma$  is in **class  $\mathcal{S}$**  (or is **bi-exact**) if  $\Gamma$  is exact and  $\exists$  map  $\eta : \Gamma \rightarrow \text{Prob}(\Gamma)$  satisfying

$$\|\eta(gkh) - g \cdot \eta(k)\| \rightarrow 0 \quad \text{if } k \rightarrow \infty$$

## Examples

► Free groups  $\mathbb{F}_n$

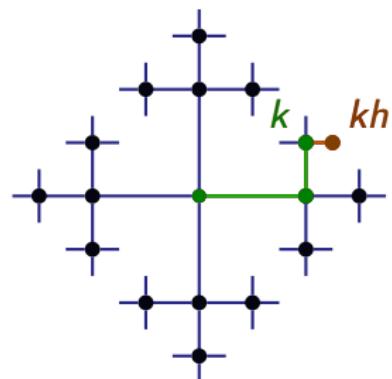
$\eta(k)$  = unif. measure on path  $e$  to  $k$

► Right invariance ✓

$\eta(kh) =$  unif. measure path  $e$  to  $kh$

$\eta(k) =$  unif. measure path  $e$  to  $k$

→ difference: path from  $k$  to  $kh$



# Class $\mathcal{S}$ for countable groups

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Definition (Ozawa, 2006)

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## Examples

► Free groups  $\mathbb{F}_n$

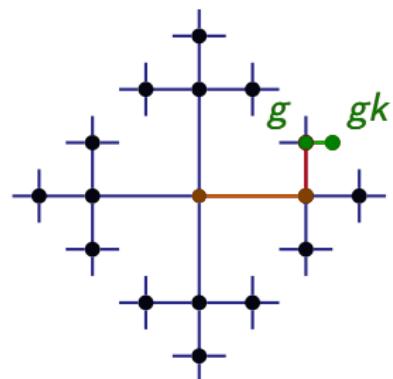
$\eta(k)$  = unif. measure on path  $e$  to  $k$

► Left equivariance ✓

$\eta(gk) =$  unif. measure path  $e$  to  $gk$

$g \cdot \eta(k) =$  unif. measure path  $g$  to  $gk$

→ difference: path from  $e$  to  $g$



# Class $\mathcal{S}$ for countable groups

$\Gamma$  countable group

Definition (Ozawa, 2006)

$\Gamma$  is in **class  $\mathcal{S}$**  (or is **bi-exact**) if  $\Gamma$  is exact and  $\exists$  map  $\eta : \Gamma \rightarrow \text{Prob}(\Gamma)$  satisfying

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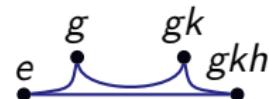
## Examples

- ▶ Free groups  $\mathbb{F}_n$
- ▶ Amenable groups
  - ▶  $\exists$  sequence  $\mu_n \in \text{Prob}(\Gamma)$

$$\|\mu_n - g \cdot \mu_n\| \rightarrow 0$$

- ▶ Define

$$\eta(k) = \frac{1}{|k|} \sum_{i=|k|+1}^{|2k|} \mu_i$$



# Class $\mathcal{S}$ for countable groups

$\Gamma$  countable group

Definition (Ozawa, 2006)

$\Gamma$  is in **class  $\mathcal{S}$**  (or is **bi-exact**) if  $\Gamma$  is exact and  $\exists$  map  $\eta : \Gamma \rightarrow \text{Prob}(\Gamma)$  satisfying

$$\|\eta(gkh) - g \cdot \eta(k)\| \rightarrow 0 \quad \text{if } k \rightarrow \infty$$

## Examples

- ▶ Free groups  $\mathbb{F}_n$
- ▶ Amenable groups
- ▶ (Adams, 1994) Hyperbolic groups
- ▶ (Skandalis, 1988) Lattices in finite-center, connected, simple Lie groups with real rank 1

# Exactness

Definition (Kirchberg-Wasserman, 1999)

$\Gamma$  is **exact** if for every short exact sequence

$$0 \rightarrow A \rightarrow B \rightarrow C \rightarrow 0$$

of  $\Gamma$ -C\*-algebras, also

$$0 \rightarrow A \rtimes_r \Gamma \rightarrow B \rtimes_r \Gamma \rightarrow C \rtimes_r \Gamma \rightarrow 0$$

is exact.

## Examples

- ▶ Almost every group is exact
  - e.g. amenable groups, hyperbolic groups, linear groups, countable subgroups of connected simple Lie groups, ...
- ▶ Examples of non-exact groups: (Gromov, 2003) and (Osajda, 2014)

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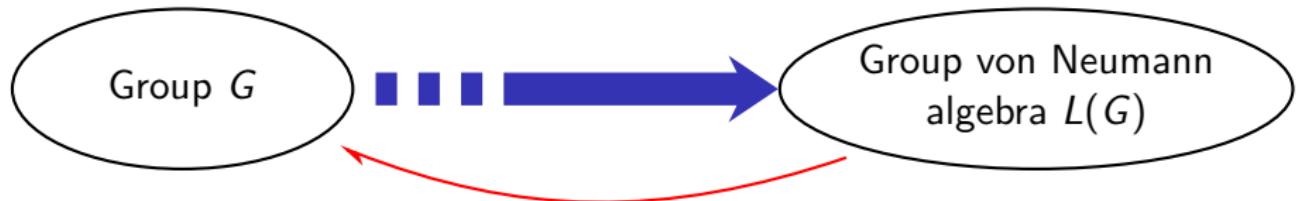
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# Applications



## Theorem (Ozawa, 2004)

$L(\Gamma)$  is **solid** if  $\Gamma$  is in class  $\mathcal{S}$ , i.e. for every diffuse  $N \subseteq L(\Gamma)$  von Neumann subalgebra, the algebra  $N' \cap L(\Gamma)$  is amenable.

## Corollary

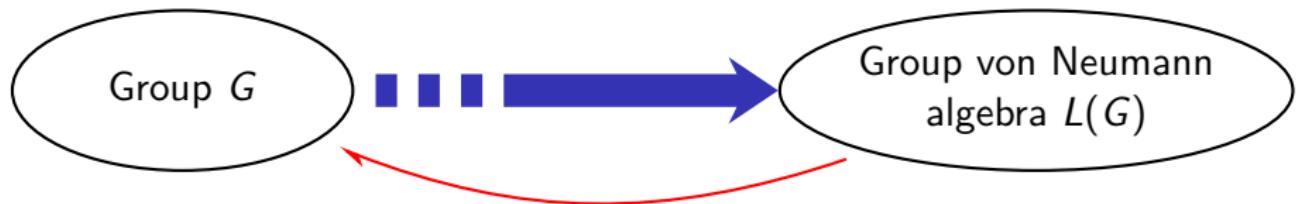
$L(\Gamma)$  is **prime** if  $\Gamma$  is non-amenable, icc and in class  $\mathcal{S}$ , i.e.

$L(\Gamma) \not\cong M_1 \overline{\otimes} M_2$  if  $M_1, M_2$  non-type I factors.



$$L(\mathbb{F}_2 \times \mathbb{F}_2) = L(\mathbb{F}_2) \overline{\otimes} L(\mathbb{F}_2) \not\cong L(\mathbb{F}_2).$$

## Applications



## Theorem (Ozawa-Popa, 2004)

Let  $\Gamma = \Gamma_1 \times \dots \times \Gamma_n$  with  $\Gamma_i$  non-amenable, icc and in class  $\mathcal{S}$ . Then  $L(\Gamma) = L(\Gamma_1) \overline{\otimes} \dots \overline{\otimes} L(\Gamma_n)$  has **unique prime factorization (UPF)**, i.e. if

$$L(\Gamma) = N_1 \overline{\otimes} \dots \overline{\otimes} N_m$$

for prime factors  $N_1, \dots, N_m$ , then  $n = m$  and  $N_i \cong_s L(\Gamma_i)$  (after relabeling).

$$\rightarrow L(\mathbb{F}_2 \times \mathbb{F}_2 \times \mathbb{F}_2) \not\cong L(\mathbb{F}_2 \times \mathbb{F}_2).$$

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# Topological amenability – Definition

- ▶  $G$  locally compact and second countable
- ▶  $X$  compact topological space,  $G \curvearrowright X$  continuous

Definition (Anantharaman-Delaroche, 1987)

$G \curvearrowright X$  is **(topologically) amenable** if  $\exists$  weakly\* continuous maps  $\mu_n : X \rightarrow \text{Prob}(G)$  such that

$$\|\mu_n(g \cdot x) - g \cdot \mu_n(x)\| \rightarrow 0$$

uniformly on  $X$  and on compact sets for  $g \in G$ .

## Examples

- ▶ If  $X = \{x_0\}$ , then  $G \curvearrowright X$  is amenable iff  $G$  is amenable
- ▶ If  $X$  discrete and  $G \curvearrowright X$  free, then  $G \curvearrowright X$  amenable

$$\mu_n(x) = \delta_x$$

# Topological amenability – Example

Definition (Anantharaman-Delaroche, 1987)

$G \curvearrowright X$  is **(topologically) amenable** if  $\exists : \mu_n : X \rightarrow \text{Prob}(G)$  of continuous maps such that

$$\|\mu_n(g \cdot x) - g \cdot \mu_n(x)\| \rightarrow 0$$

uniformly on  $X$  and on compact sets for  $g \in G$ .

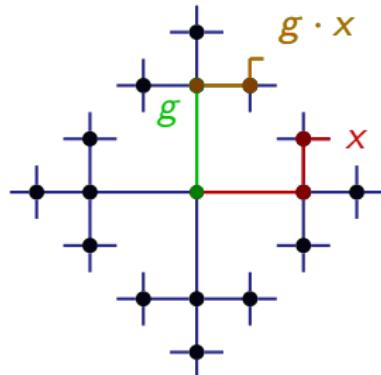
## Examples

- $\mathbb{F}_2 \curvearrowright$  boundary of Cayley graph

$\mu_n(x) =$  unif. measure on first  $n$  vertices of path  $e$  to  $x$

- $\mu_n(g \cdot x) = (\dots)$  path  $e$  to  $g \cdot x$
- $g \cdot \mu_n(x) = (\dots)$  path  $g$  to  $g \cdot x$

→ difference: path from  $e$  to  $g$



# Topological amenability – Example

Definition (Anantharaman-Delaroche, 1987)

$G \curvearrowright X$  is **(topologically) amenable** if  $\exists : \mu_n : X \rightarrow \text{Prob}(G)$  of continuous maps such that

$$\|\mu_n(g \cdot x) - g \cdot \mu(x)\| \rightarrow 0$$

uniformly on  $X$  and on compact sets for  $g \in G$ .

## Examples

- ▶  $\mathbb{F}_2 \curvearrowright$  boundary of Cayley graph
- ▶  $\Gamma \curvearrowright$  boundary of Cayley graph for  $\Gamma$  hyperbolic

# Characterization of class $\mathcal{S}$

## Theorem (Ozawa, 2006)

*A countable group  $\Gamma$  belongs to class  $\mathcal{S}$  if and only if  $\Gamma$  has amenable action on a boundary that is small at infinity, i.e.  $\exists$  compactification  $h\Gamma$  of  $\Gamma$  such that*

- ▶ *Actions by left and right translation extend to actions on  $h\Gamma$ ,*
- ▶ *Action by right translation is trivial on  $\nu\Gamma = h\Gamma \setminus \Gamma$ ,*
- ▶ *Action by left translation on  $\nu\Gamma = h\Gamma \setminus \Gamma$  is topologically amenable.*

# Link with $C^*$ -algebras

Consider the following conditions:

- (i)  $G \curvearrowright X$  is amenable
- (ii)  $C(X) \rtimes G \cong C(X) \rtimes_r G$
- (iii)  $C(X) \rtimes_r G$  is nuclear

Theorem (Anantharaman-Delaroche, 1987)

For  $G$  countable, we have (i)  $\Leftrightarrow$  (ii)  $\Leftrightarrow$  (iii)

Theorem (Anantharaman-Delaroche, 2002)

For  $G$  locally compact, we have (i)  $\Rightarrow$  (ii)  $\Rightarrow$  (iii)

# Exactness and topological amenability

## Definition

A group  $G$  is called **exact** if the operation of taking the reduced crossed product preserves short exact sequences.

Consider the following conditions

- (i)  $G$  is exact,
- (ii)  $G \curvearrowright \beta^{lu} G$  is amenable,
- (iii)  $C_r^*(G)$  is exact (i.e. taking minimal tensor product preserves exactness)

## Definition

Left-equivariant Stone-Čech compactification  $\beta^{lu} G$

$$\begin{array}{ccc}
 G & \xrightarrow{\text{$G$-equiv $f$}} & K \\
 i \downarrow & \nearrow \exists! \text{ $G$-equiv } \beta f & \\
 \beta^{lu} G & & C(\beta^{lu} G) \cong C_b^{lu}(G) \\
 & & = \{f \in C_b(G) \mid \|\lambda_g f - f\|_\infty \rightarrow 0 \text{ if } g \rightarrow e\}
 \end{array}$$

# Exactness and topological amenability

Consider the following conditions

- (i)  $G$  is exact,
- (ii)  $G \curvearrowright \beta^{lu} G$  is amenable,
- (iii)  $C_r^*(G)$  is exact (i.e. taking minimal tensor product preserves exactness)

Theorem (Kirchberg-Wasserman, 1999; Ozawa, 2000)

For  $G$  countable, we have  $(i) \Leftrightarrow (ii) \Leftrightarrow (iii)$

Theorem (Anantharaman-Delaroche, 2002; Brodzki-Cave-Li, 2017)

For  $G$  locally compact, we have  $(i) \Leftrightarrow (ii) \Rightarrow (iii)$ .

► **Remark:** for locally compact  $(iii) \Rightarrow (i)$  is open.

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# Ozawa's class $\mathcal{S}$ for locally compact groups

## Definition (Brothier-D-Vaes, 2018)

A locally compact group  $G$  is in **class  $\mathcal{S}$**  if  $G$  is exact and  $\exists$  continuous map  $\eta : G \rightarrow \text{Prob}(G)$  satisfying

$$\lim_{k \rightarrow \infty} \|\eta(gkh) - g \cdot \eta(k)\| = 0$$

uniformly on compact sets for  $g, h \in G$ .

## Examples

- ▶ Amenable groups
- ▶ **(Skandalis, 1988)** Finite-center, connected, simple Lie groups of real rank 1
  - e.g.  $\text{SL}_2(n, \mathbb{R})$ ,  $\text{SO}(n, 1)$ ,  $\text{SU}(n, 1)$ ,  $\text{Sp}(n, 1)$
- ▶ **(Brothier-D-Vaes, 2018)** Automorphism groups of trees and hyperbolic graphs

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# Applications

## Theorem (Brothier-D-Vaes, 2018)

Let  $G$  be in class  $\mathcal{S}$ , then  $L(G)$  is **solid**, i.e. for every diffuse  $N \subseteq L(G)$  with expectation, we have  $N' \cap L(G)$  is amenable.

## Corollary

$L(G)$  is **prime** if  $G$  is in class  $\mathcal{S}$  and  $L(G)$  non-amenable factor

## Theorem (D, 2019)

Let  $G = G_1 \times \cdots \times G_n$  with  $G_i$  locally compact groups in class  $\mathcal{S}$  and  $L(G_i)$  nonamenable factor. Then,  $L(G) \cong L(G_1) \otimes \cdots \otimes L(G_n)$  has unique prime factorization, i.e. if

$$L(G) \cong N_1 \otimes \cdots \otimes N_m$$

with  $N_i$  prime, then  $n = m$  and  $L(G_i) \cong_s N_i$  (after relabeling).

# Examples

## Example (Suzuki)

- ▶  $\mathbb{Z}_2 = \mathbb{Z}/2\mathbb{Z}$  acts on  $\mathbb{F}_2$  by flipping generators
- ▶   $K = \prod_{n \in \mathbb{N}} \mathbb{Z}_2$  acts on  $H = *_{n \in \mathbb{N}} \mathbb{F}_2$
- ▶  $G = H \rtimes K$  is in class  $\mathcal{S}$  and  $L(G)$  is nonamenable factor **(Suzuki, 2016)**

## Corollary

$$L(G) \not\cong L(G \times G) \not\cong L(G \times G \times G)$$

# Proof of unique prime factorization

## Theorem (D)

Let  $G = G_1 \times \cdots \times G_n$  with  $G_i$  in class  $\mathcal{S}$  such that  $L(G_i)$  is a nonamenable factor. Then,  $L(G) \cong L(G_1) \overline{\otimes} \cdots \overline{\otimes} L(G_n)$  has UPF.

- ▶ Follows from combining
  - ▶ UPF results from **(Houdayer and Isono, 2017)** and **(Ando, Haagerup, Houdayer, and Marrakchi, 2018)**
  - ▶ Locally compact version of characterization of class  $\mathcal{S}$

## Theorem (D, 2019)

A locally compact group  $G$  belongs to class  $\mathcal{S}$  if and only if it has amenable action on a compactification that is small at infinity, i.e.  $\exists$  compactification  $h^u G$  of  $G$  such that

- ▶ Actions by left and right translation extend to actions on  $h^u G$ ,
- ▶ Action by right translation is trivial on  $h^u G \setminus G$ ,
- ▶ Action by left translation on  $h^u G$  is topologically amenable.

# UPF results from (Houdayer-Isono, 2017)

Theorem (Houdayer-Isono, 2017; Ando, Haagerup, Houdayer, and Marrakchi, 2018)

*A von Neumann algebra  $M = M_1 \overline{\otimes} \dots \overline{\otimes} M_n$  has unique prime factorization if each  $M_i$  is a nonamenable factor satisfying strong condition (AO).*

Definition (Houdayer-Isono, 2017)

A von Neumann algebra  $M$  with standard representation  $(M, \mathcal{H}, J, P)$  satisfies **strong condition (AO)** if there exist  $C^*$ -algebras  $A \subseteq M$  and  $\mathcal{C} \subseteq B(\mathcal{H})$  such that

- (i)  $A$  is exact and w.o. dense in  $M$ ,
- (ii)  $\mathcal{C}$  is nuclear and contains  $A$ ,
- (iii)  $[\mathcal{C}, JAJ] \subseteq \mathcal{K}(\mathcal{H})$

# Proof of unique prime factorization

## Theorem (D)

Let  $G = G_1 \times \dots \times G_n$  with  $G_i$  in class  $\mathcal{S}$  such that  $L(G_i)$  is a nonamenable factor. Then,  $L(G) \cong L(G_1) \overline{\otimes} \dots \overline{\otimes} L(G_n)$  has UPF.

### Proof:

STP: Each  $L(G_i)$  satisfies strong condition (AO)

- ▶  $A = C_r^*(G_i)$  is exact and w.o. dense in  $M = L(G_i)$
- ▶  $\mathcal{C} = ?$ 
  - ▶  $G_i \curvearrowright h^u G_i$  is topologically amenable
  - ▶   $C(h^u G_i) \rtimes_r G_i \cong C(h^u G_i) \rtimes G_i$  is nuclear
  - ▶ Consider  $\pi : C(h^u G_i) \rtimes G_i \rightarrow L^2(G_i)$  induced by covariant rep.
- $$g \mapsto \lambda_g, \quad f \mapsto f|_{G_i} \quad \text{for } f \in C(h^u G_i), g \in G_i$$
- ▶  $\mathcal{C} = \pi(C(h^u G) \rtimes G_i)$
- ▶  $[\mathcal{C}, JAJ] \subseteq \mathcal{K}(\mathcal{H}) \checkmark$



## New examples

### Theorem (D, 2019)

*Locally compact wreath products  $B \wr_X^A H$  are in class  $\mathcal{S}$  if  $B$  is amenable,  $H$  in class  $\mathcal{S}$  and  $H \curvearrowright X$  such that  $\text{Stab}_H(x)$  is amenable for all  $x \in X$ .*

- ▶ **(Ozawa, 2006)** same result for discrete groups

### Theorem (D, 2019)

*Class  $\mathcal{S}$  is closed under measure equivalence*

- ▶ **(Sako, 2009)** same result for discrete groups

Thank you for your attention!

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